

# The lost boarding pass problem: converse results

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## 1. Introduction and results

This Article is a follow-up to a recent Gazette Article about *the lost boarding pass problem* by Grimmett and Stirzaker [1]. According to their book [2, 1.8.39, p.10], it seems that they recognised this lovely problem in 2000 or earlier. We quote it with suitable minor changes.

**(The lost boarding pass problem)** The  $n$  passengers for a Bell-Air flight in an airplane with  $n$  seats have been told their seat numbers. They get on the plane one by one. The first person loses his or her boarding pass, and sits in a randomly chosen seat. Subsequent passengers sit in their assigned seats whenever they find them available, or otherwise in a randomly chosen empty seat.

- (I) Suppose that the first person sits in a seat chosen uniformly at random from  $n$  available. What is the probability that the last passenger finds his or her assigned seat to be free?
- (II) Suppose that the first person sits in a seat chosen uniformly at random *except* his or her assigned seat. What is the probability of the previous question?

For the time being, we assume  $n \geq 2$ . The solutions of (I) and (II) written in [2, 1.8.39, p.197] are

$$\frac{1}{2} \quad \text{and} \quad \frac{n-2}{2(n-1)}, \tag{1}$$

respectively. To discuss the problem we use some notation. For  $l \in \{1, \dots, n\}$  let  $N_l$  be the random seat number of the passenger  $l$ , so that  $(N_1, \dots, N_n)$  is a permutation of  $(1, \dots, n)$ . Let  $p_i$  be the probability that the seat number of the first passenger 1 is  $i$ , i.e.,

$$p_i = P(N_1 = i) \quad \text{for } i \in \{1, \dots, n\}. \tag{2}$$

Then

$$\sum_{i=1}^n p_i = 1, \tag{3}$$

and the assumptions of (I) and (II) are expressed as

$$\begin{cases} \text{(I)} & p_1 = \dots = p_n = \frac{1}{n}, \\ \text{(II)} & p_1 = 0 \text{ and } p_2 = \dots = p_n = \frac{1}{n-1}, \end{cases} \quad (4)$$

respectively. Let  $A_l$  be the event that the passenger  $l$  sits in his or her assigned seat, namely,

$$A_l = \{N_l = l\} \quad \text{for } l \in \{1, \dots, n\}. \quad (5)$$

Since many authors investigate (I) (see [3], [4], [5]), we briefly explain some results for (I). Both [4, (1)] and [5] state that

$$\text{if (I) of (4) holds then } P(A_l) = \frac{n-l+1}{n-l+2} \quad \text{for } l \in \{2, \dots, n\}, \quad (6)$$

in particular  $P(A_n) = \frac{1}{2}$ . Bollobás [3, p.177] proves it without using mathematical expressions. Moreover Henze and Last [4, Theorem 1] show that  $A_2, \dots, A_n$  are independent, but a simpler proof is given by [1, Theorem 1].

In this Article, we study this problem when the first passenger randomly chooses a seat in the sense of (2). Throughout this Article, we assume

$$p_k > 0 \quad \text{for } k \in \{2, \dots, n-1\}, \quad (7)$$

which includes (4), since  $p_1 = 0$  or  $p_n = 0$  is allowed. Under (7), we establish a necessary [as well as sufficient] condition on  $p_1, \dots, p_n$  for the independence of  $A_2, \dots, A_n$  as follows.

*Theorem 1:* Suppose that  $n \geq 3$  and the first passenger chooses his or her seat with probability  $p_1, \dots, p_n$  satisfying (7). Then we have

$$p_1 = p_3 = \dots = p_n \text{ if and only if } A_2, \dots, A_n \text{ are independent.} \quad (8)$$

Note that the following example shows that the natural condition (I) of (4) above, i.e.  $p_1 = p_2 = \dots = p_n$ , is *not* necessary.

*Example 1:* Let  $n = 3$ , and if  $p_1 = p_3$  then simple calculations show that we have  $P(A_2) = 2p_1$ ,  $P(A_3) = 1/2$  and  $P(A_2 \cap A_3) = p_1$ , which gives  $P(A_2 \cap A_3) = P(A_2)P(A_3)$ . Hence  $A_2$  and  $A_3$  may be independent even if  $p_1 = p_2 = p_3 = 1/3$  fails.

This Article is organised as follows. Section 2 provides preliminary results for Theorem 1. We prove Theorem 1 in Section 3, and make concluding remarks in Section 4.

## 2. Preliminary results

Let us introduce notation for the conditional probabilities

$$\alpha_k(l) = P(A_l|N_1 = k) \quad \text{for } k \in \{2, \dots, n-1\} \text{ and } l \in \{1, 2, \dots, n\},$$

which are well-defined because of (7). When the first passenger sits in a seat  $k$  for  $k \in \{2, \dots, n-1\}$ , the following lemma holds.

*Lemma 1:* For  $k \in \{2, \dots, n-1\}$ , we obtain

$$\begin{cases} \alpha_k(1) = \alpha_k(k) = 0, \\ \alpha_k(l) = 1 \text{ for } l \in \{2, \dots, k-1\} \text{ with } k \geq 3, \end{cases} \quad (9)$$

and

$$\alpha_k(l) = \frac{n-l+1}{n-l+2} \quad \text{for } l \in \{k+1, \dots, n\}. \quad (10)$$

*Proof:* Let us fix  $k \in \{2, \dots, n-1\}$ . From the statement of the problem, (9) follows. For simplicity we set  $P_k(\cdot) = P(\cdot|N_1 = k)$ . Since the passenger  $k$  randomly chooses a seat in  $\{1\} \cup \{k+1, \dots, n\}$ , it turns out that

$$P_k(N_k = i) = \frac{1}{n-k+1} \quad \text{for } i \in \{1\} \cup \{k+1, \dots, n\}. \quad (11)$$

When  $k \in \{2, \dots, n-2\}$  we have

$$P_k(A_l|N_k = i) = \alpha_i(l) \quad \text{for } i \in \{k+1, \dots, n-1\} \text{ and } l \in \{i+1, \dots, n\}, \quad (12)$$

and when  $k = n-1$  we have

$$P_{n-1}(A_n|N_{n-1} = n) = 0, \quad P_{n-1}(A_n|N_{n-1} = 1) = 1. \quad (13)$$

Moreover

$$\begin{cases} P_k(A_l|N_k = 1) = 1 & \text{for } l \in \{k+1, k+2, \dots, n\}, \\ P_k(A_l|N_k = i) = 1 & \text{for } \begin{cases} k \in \{2, \dots, n-2\}, \\ i \in \{k+2, \dots, n\}, \\ l \in \{k+1, k+2, \dots, i-1\}, \end{cases} \\ P_k(A_l|N_k = l) = 0 & \text{for } l \in \{k+1, k+2, \dots, n\}. \end{cases} \quad (14)$$

Then it follows that for  $k \in \{2, \dots, n-2\}$  and  $l \in \{k+1, k+2, \dots, n\}$

$$\begin{aligned}
\alpha_k(l) &= P_k(A_l) = \sum_{i \in \{1\} \cup \{k+1, \dots, n\}} P_k(A_l | N_k = i) P_k(N_k = i) \\
&= \frac{1}{n-k+1} \left\{ P_k(A_l | N_k = 1) + \sum_{i=k+1}^{l-1} P_k(A_l | N_k = i) \right. \\
&\quad \left. + \sum_{i=l+1}^n P_k(A_l | N_k = i) \right\} \\
&\stackrel{(12),(14)}{=} \begin{cases} \frac{n-k}{n-k+1} & \text{if } l = k+1, \\ \frac{n-l+1 + \sum_{i=k+1}^{l-1} \alpha_i(l)}{n-k+1} & \text{if } l \in \{k+2, \dots, n\}. \end{cases} \quad (15)
\end{aligned}$$

Although solving this equation under (9) yields (10), we prove it by induction with  $k$  as in [2, 1.8.39, p.197]. If  $k = n-1$  then

$$\begin{aligned}
\alpha_{n-1}(n) &= P_{n-1}(A_n) = P_{n-1}(A_n | N_{n-1} = 1) P_{n-1}(N_{n-1} = 1) \\
&\quad + P_{n-1}(A_n | N_{n-1} = n) P_{n-1}(N_{n-1} = n) \stackrel{(11),(13)}{=} \frac{1}{2}.
\end{aligned}$$

Next, we suppose that (10) is true for  $k \in \{n-j, \dots, n-1\}$ . Then we check (10) with  $k = n-j-1 \geq 2$ . If  $l = n-j$  then  $\alpha_{n-j-1}(n-j) = \frac{j+1}{j+2}$  from (15). If  $l \in \{n-j+1, \dots, n\}$  then we have

$$\alpha_{n-j-1}(l) = \frac{n-l+1 + \sum_{i=n-j}^{l-1} \alpha_i(l)}{n - (n-j-1) + 1} = \frac{n-l+1}{n-l+2}.$$

Hence we obtain (10), which completes the proof of Lemma 1.

*Remark 1:*

- (i) Equation (10) with  $l = n$  implies  $\alpha_k(n) = \frac{1}{2}$  for  $k \in \{2, \dots, n-1\}$ . This suggests that if the first passenger sits in a seat  $k \in \{2, \dots, n-1\}$  then the seats 1 and  $n$  are chosen with the same probability.
- (ii) Equation (12) means that whether the first passenger or the passenger  $k$  sits in the seat  $i$ , the conditional probability for the passenger  $l$  does not change. We use this *memoryless property* when proving the independence of  $A_2, \dots, A_n$  in Theorem 1.

*Proposition 1:* Make the same assumption of Theorem 1. Then the probability that the passenger  $l$  sits in his or her assigned seat is

$$P(A_l) = \begin{cases} p_1 & \text{for } l = 1, \\ 1 - p_2 & \text{for } l = 2, \\ 1 - \frac{1}{n-l+2} \sum_{k=2}^{l-1} p_k - p_l & \text{for } l \in \{3, \dots, n\}. \end{cases} \quad (16)$$

*Proof:* If  $l = 1$  then  $P(A_1) = P(N_1 = 1) = p_1$ . Let us assume  $l \in \{2, \dots, n - 1\}$ . Conditioned by  $N_1$ , we have

$$\begin{aligned} P(A_l) &= P(A_l \cap \{N_1 = 1\}) + \sum_{k=2}^{n-1} P(A_l | N_1 = k) P(N_1 = k) + P(A_l \cap \{N_1 = n\}) \\ &= p_1 + \sum_{k=2}^{n-1} \alpha_k(l) p_k + p_n. \end{aligned}$$

Lemma 1 implies the following.

- If  $l = 2$  then  $P(A_2) = p_1 + \sum_{k=3}^n p_k = 1 - p_2$ .
- If  $l \in \{3, \dots, n - 1\}$  then

$$\begin{aligned} P(A_l) &= p_1 + \sum_{k=2}^{l-1} \alpha_k(l) p_k + \sum_{k=l+1}^{n-1} \alpha_k(l) p_k + p_n \\ &\stackrel{(10)}{=} p_1 + \frac{n-l+1}{n-l+2} \sum_{k=2}^{l-1} p_k + \sum_{k=l+1}^n p_k \stackrel{(3)}{=} 1 - \frac{1}{n-l+2} \sum_{k=2}^{l-1} p_k - p_l. \end{aligned}$$

Finally, if  $l = n$  then  $P(A_n) = p_1 + \sum_{k=2}^{n-1} \alpha_k(n) p_k \stackrel{(10),(3)}{=} 1 - \frac{1}{2} \sum_{k=2}^{n-1} p_k - p_n$ . Hence (16) holds, which completes the proof.

*Remark 2:* Proposition 1 tells us that for  $l \in \{2, \dots, n\}$  the probability  $P(A_l)$  depends only on  $p_2, \dots, p_l$ , and is smaller than  $1 - p_l$ , which is the probability that the first passenger sits except the seat  $l$ , by  $\frac{1}{n-l+2} \sum_{k=2}^{l-1} p_k$ . In addition it implies that

$$p_1 = p_n \text{ if and only if } P(A_n) = \frac{1}{2}. \quad (17)$$

In fact, combining (16) with  $l = n$  and (3) yields  $P(A_n) = \frac{1+p_1-p_n}{2}$ , which gives (17). Note that (17) corresponds to Remark 1 (i).

*Example 2:*

- *Case (I):* Equation (16) with  $p_1 = p_2 = \dots = p_n = \frac{1}{n}$  implies (6).
- *Case (II):* Equation (16) with  $p_1 = 0$  and  $p_2 = \dots = p_n = \frac{1}{n-1}$  implies

$$P(A_l) = \frac{n-l+1}{n-l+2} - \frac{1}{(n-1)(n-l+2)} \quad \text{for } l \in \{2, \dots, n\},$$

whose form suggests the difference from (6).

We remark that (1) follows from Cases (I) and (II) with  $l = n$ , respectively.

### 3. Proof of Theorem 1

Suppose  $p_1 = p_3 = \dots = p_n$ . Then we show

$$P(A_j|A_i^c) = P(A_j) \quad \text{for } 2 \leq i < j \leq n, \quad (18)$$

noting that  $P(A_j|A_i^c)$  is well-defined since  $P(A_i^c) \geq p_i > 0$  for  $i \in \{2, \dots, n-1\}$ . It follows that

$$P(A_j|A_i^c) = P(A_j|N_1 = i) = \alpha_i(j) \stackrel{(10)}{=} \frac{n-j+1}{n-j+2}, \quad (19)$$

where the first equality holds for the same reason as (12). Using (16) and

$$p_2 = 1 - (n-1)p_1, \quad (20)$$

we have

$$P(A_j) = \frac{n-j+1}{n-j+2} \quad \text{for } j \in \{3, \dots, n\}, \quad (21)$$

because

- if  $j \in \{3, \dots, n-1\}$  then  $P(A_j) \stackrel{(16)}{=} 1 - \frac{p_2+(j-3)p_1}{n-j+2} - p_1 \stackrel{(20)}{=} \frac{n-j+1}{n-j+2}$ ,
- if  $j = n$  then  $P(A_n) \stackrel{(17)}{=} \frac{1}{2}$ .

Therefore (18) holds, which implies that  $A_i$  and  $A_j$  are independent by using [2, 1.5.1, p.3]. Similarly, to show that  $A_2, \dots, A_n$  are independent, it is sufficient to prove for any  $m \in \{2, 3, \dots, n-2\}$  and  $2 \leq j_0 < j_1 < j_2 < \dots < j_m \leq n$ ,

$$\mathrm{P} \left( \bigcap_{s=1}^m A_{j_s}^c \mid A_{j_0}^c \right) = \prod_{s=1}^m \mathrm{P} (A_{j_s}^c), \quad (22)$$

which follows from

$$\begin{aligned} \text{LHS of (22)} &\stackrel{(12)}{=} \mathrm{P}_{j_0} \left( \bigcap_{s=1}^m A_{j_s}^c \right) = \mathrm{P}_{j_0} \left( A_{j_m}^c \mid \bigcap_{s=1}^{m-1} A_{j_s}^c \right) \mathrm{P}_{j_0} \left( \bigcap_{s=1}^{m-1} A_{j_s}^c \right) \\ &\stackrel{(12)}{=} \mathrm{P}_{j_{m-1}} (A_{j_m}^c) \mathrm{P}_{j_0} \left( \bigcap_{s=1}^{m-1} A_{j_s}^c \right) = \{1 - \alpha_{j_{m-1}}(j_m)\} \mathrm{P}_{j_0} \left( \bigcap_{s=1}^{m-1} A_{j_s}^c \right) \\ &= \prod_{s=1}^m \{1 - \alpha_{j_{s-1}}(j_s)\} \stackrel{(10)}{=} \prod_{s=1}^m \frac{1}{n - j_s + 2} \stackrel{(21)}{=} \text{RHS of (22)}. \end{aligned}$$

Note that  $\mathrm{P}_{j_0} (A_{j_m}^c \mid \bigcap_{s=1}^{m-1} A_{j_s}^c)$  is also well-defined because it turns out that  $\mathrm{P}_{j_0} (\bigcap_{s=1}^{m-1} A_{j_s}^c) > 0$  from (7). Hence  $A_2, \dots, A_n$  are independent.

Next, we suppose that  $A_2, \dots, A_n$  are independent. Then (21) is obtained by (18) and (19). Hence (16) yields  $1 - \frac{1}{n-l+2} \sum_{k=2}^{l-1} p_k - p_l = \frac{n-l+1}{n-l+2}$ , so that

$$p_l = \frac{p_1 + p_l + \dots + p_n}{n - l + 2} \quad \text{for } l \in \{3, \dots, n\}.$$

If  $l = n$  then  $p_1 = p_n$ . If  $l = n - 1$  then  $p_{n-1} = \frac{p_1 + p_{n-1} + p_n}{3}$ , which implies  $p_{n-1} = p_1 = p_n$ . Repeating this procedure leads to  $p_1 = p_3 = \dots = p_n$ , which completes the proof.

#### 4. Conclusion

Let us remark that the condition (7) is required for Theorem 1. Indeed, if (7) is violated then  $A_2, \dots, A_n$  are independent for  $p_1 = 1$  or  $p_n = 1$  which does not satisfy  $p_1 = p_3 = \dots = p_n$ .

Finally, it would be interesting to have an intuitively clear reason why the value of  $p_2$  is independent of the result of Theorem 1.

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